

MAPLEWOOD INVESTMENTS, INC. - Held NMS Stocks and Options Order Routing Public Report

Generated on Mon Apr 05 2021 10:41:38 GMT-4 EDT

1st Quarter, 2021

January 2021

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	71.51	4.07	13.95	10.47

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	37.25	0.00	0.00	0.00	84.07	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	36.08	55.96	28.57	46.15	15.04	0	0	0	0	0	0	0	0
VIRTU Americas LLC (NITE)	17.65	29.36	71.43	26.92	0.88	0	0	0	0	0	0	0	0
Jane Street Capital, LLC (JNST)	3.53	8.26	0.00	0.00	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	1.96	4.59	0.00	0.00	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	1.57	0.00	0.00	15.38	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange (EDGX)	1.18	0.00	0.00	11.54	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC (UBSS)	0.78	1.83	0.00	0.00	0.00	0	0	0	0	0	0	0	0

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- National Financial Services LLC (XSTM)
- Citadel Securities LLC (CDRG)
- VIRTU Americas LLC (NITE)
- Jane Street Capital, LLC (JNST)
- G1 Execution Services, LLC (ETMM)
- NASDAQ Execution Services, LLC (NSDQ)
- Cboe EDGX US Equities Exchange (EDGX)
- UBS Securities, LLC (UBSS)

January 2021

Non S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	68.43	2.17	10.36	19.04

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	36.88	0.00	0.00	0.00	74.69	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	32.25	53.51	22.22	14.58	17.19	0	0	0	0	0	0	0	0
VIRTU Americas LLC(NITE)	17.75	31.00	66.67	10.42	6.25	0	0	0	0	0	0	0	0
Jane Street Capital, LLC (JNST)	3.40	7.75	11.11	0.00	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	2.78	0.37	0.00	35.42	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	2.47	0.00	0.00	33.33	0.00	0	0	0	0	0	0	0	0
G1 Execution Services LLC (ETMM)	2.31	5.17	0.00	0.00	0.31	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC (SOHO)	1.23	2.21	0.00	0.00	0.63	0	0	0	0	0	0	0	0
New York Stock Exchange (NYSE)	0.46	0.00	0.00	6.25	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross (BLKX)	0.31	0.00	0.00	0.00	0.63	0	0	0	0	0	0	0	0

Material Aspects:

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- Citadel Securities LLC(CDRG)
- VIRTU Americas LLC (NITE)
- Jane Street Capital, LLC (JNST)
- Cboe EDGX US Equities Exchange (EDGX)
- NASDAQ Execution Services, LLC (NSDQ)
- G1 Execution Services, LLC (ETMM)
- Two Sigma Securities, LLC (SOHO)
- New York Stock Exchange (NYSE)
- Instinet BlockCross (BLKX)

January 2021

Option Contracts

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	85.71	0.00	14.29	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Susquehanna Capital Group (SUSQ)	71.43	83.33	0.00	0.00	0.00	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	28.57	16.67	0.00	100.00	0.00	0	0	0	0	0	0	0	0

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Susquehanna Capital Group (SUSQ)
Citadel Securities LLC(CDRG)

February 2021

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	67.86	5.16	12.70	14.29

Venues

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National Financial Services LLC(XSTM)	32.90	0.00	0.00	0.00	78.05	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	28.79	50.64	23.08	3.57	17.07	0	0	0	0	0	0	0	0
VIRTU Americas LLC (NITE)	17.22	22.44	76.92	30.36	3.05	0	0	0	0	0	0	0	0
Jane Street Capital, LLC (JNST)	6.43	14.74	0.00	3.57	0.00	0	0	0	0	0	0	0	0
New York Stock Exchange (NYSE)	5.14	0.00	0.00	35.71	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC (UBSS)	2.83	5.13	0.00	0.00	1.83	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange (EDGX)	2.06	0.00	0.00	14.29	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	1.80	0.00	0.00	12.50	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities LLC (SOHO)	1.54	3.85	0.00	0.00	0.00								
G1 Execution Services LLC (ETMM)	1.29	3.21	0.00	0.00	0.00	0	0	0	0	0	0	0	0

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Citadel Securities LLC (CDRG)

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Jane Street Capital, LLC (JNST)
New York Stock Exchange (NYSE)
UBS Securities, LLC (UBSS)
Cboe EDGX US Equities Exchange (EDGX)
NASDAQ Execution Services, LLC (NSDQ)
Two Sigma Securities LLC (SOHO)
G1 Execution Services, LLC (ETMM)

February 2021

Non S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	59.21	5.80	17.81	17.18

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	33.91	0.00	0.00	0.00	73.84	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	30.31	51.28	42.86	10.58	18.60	0	0	0	0	0	0	0	0
VIRTU Americas LLC (NITE)	13.22	23.08	46.43	8.65	4.07	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	6.81	0.00	0.00	49.04	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC (JNST)	4.94	12.82	7.14	0.00	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange (EDGX)	4.01	0.00	0.00	28.85	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC (SOHO)	2.27	5.13	3.57	0.96	0.29	0	0	0	0	0	0	0	0
UBS Securities, LLC (UBSS)	2.27	4.76	0.00	0.00	1.16	0	0	0	0	0	0	0	0
G1 Execution Services, LLC (ETMM)	1.20	2.93	0.00	0.96	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross (BLKX)	0.93	0.00	0.00	0.00	2.03	0	0	0	0	0	0	0	0

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Jane Street Capital LLC (JNST)
UBS Securities, LLC (UBSS)
Two Sigma Securities, LLC (SOHO)
G1 Execution Services, LLC (ETMM)
Instinet BlockVross (BLKX)

March 2021

Option Contracts

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	100.00	0.00	0.00	0.00

Venues

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Susquehanna Capital Group (SUSQ)	75.00	75.00	0.00	0.00	0.00	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	25.00	25.00	0.00	0.00	0.00	0	0	0	0	0	0	0	0

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